

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Venkata Bhagavatula, et al

Serial No: 10/626,457

Filed: 7/23/2003

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

For:

APPARATUS AND METHODS FOR
PASSIVE ALIGNMENT OF OPTICAL
ELEMENTSINFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98Commissioner of Patents
Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application.

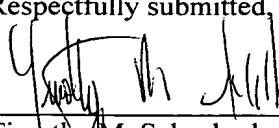
One copy of the reference(s) is enclosed.

Each of the enclosed reference(s) was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

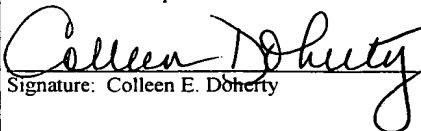
Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

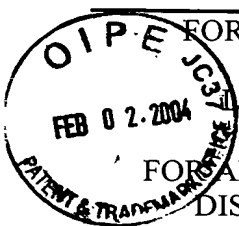


Timothy M. Schaeberle
Registration No. 34,424
Corning Incorporated
SP-TI-03-1
Corning, NY 14831
607-974-3164Date: 1/29/04

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Alexandria, VA 22313-1450 on

1-29-04
Date of Deposit

Signature: Colleen E. Doherty



FORM PTO-1449 (MODIFIED)

 LIST OF PATENTS AND
PUBLICATIONS
FOR APPLICANTS INFORMATION
DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.

SP02-165

SERIAL NO.

10/626457

APPLICANT Vankata Bhagavatula, et al.

FILING DATE 7/23/2003

GROUP:

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA	5,659,566	8/19/97	Takemoto	372	43	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							
	AQ							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	
	AS	
	AT	
	AU	
	AV	
	AW	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.